Notice of Sprott Private Wealth LP's Business Continuity Plans

At Sprott Private Wealth LP (SPW), we recognize that our clients rely on our systems and services. SPW is prepared for the unexpected– from minor disruptions to major outages. Our clients can be assured that SPW has in place extensive plans to safeguard and protect assets and account information in the event of a business disruption.

Sprott Private Wealth LP's Continuity Planning Guidelines

Sprott Private Wealth LP has developed plans that enable recovery from disaster scenarios that includes (but are not limited to) the following: power outages, major water leaks, fire, severe weather, evacuation, intentional acts, data corruption, and facilities failures. Our Business Continuity Plans have been designed to manage business interruptions of various lengths and scope, so that SPW can recover necessary critical functions in a timely fashion. In order to maintain the security of these plans, SPW does not provide the specific details in this notice, however, you should be aware that the corporate disaster recovery planning included the following:

Identification of all mission critical systems and system backup and recovery for such systems
A review of financial and operational risks
Re-route calls to another office if our telephone system were to become unavailable for any reason
Alternate communications between SPW and its clients
Employee safety strategies and communications
Systems and telecommunications accessibility
Alternate physical site location and preparedness

In event of an outage, clients should experience minimal downtime in their ability to contact SPW. There are pre-established, tested processes for re-routing of our critical hotline numbers.

Below are SPW's critical contact numbers:

Sprott Private Wealth LP
Royal Bank Plaza, South Tower
200 Bay Street
Suite 2600, PO Box 89
Toronto, Ontario M5J 2J1

Telephone: 416-943-4383 (9am to 5pm EST)
Toll Free: 855-943-4383
Facsimile: 416-362-4928
Email: invest@sprottwealth.com

Notification to Clients - Procedures for notifying clients have been established for SPW associates to follow in the event of an outage. Notification will include information regarding length of outage, instructions for contacting SPW, and support information (e.g. where to send faxes, issues pertaining to data transmissions, communications). Please note that SPW's Continuity Plans are reviewed as necessary, and at least annually, to ensure they account for technology, business and regulatory changes, operations, structure or location. The plans are subject to change, and material changes will be reflected in an updated "Notice of Business Continuity Plans" You may obtain a current written copy of this notice by contacting a SPW representative.
While no contingency plan can eliminate all risk of service interruption or temporarily impeded account access, we continually assess and update our plans to mitigate all reasonable risk.

This disclosure is subject to modification.